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# Advanced Manufacturing Technologies Designation Program Guidance for Industry

## ***DRAFT GUIDANCE***

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For questions regarding this draft document, contact (CDER) Ranjani Prabhakara 240-402-4652, or (CBER) Office of Communication, Outreach and Development, 800-835-4709 or 240-402-8010.

**U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)  
Center for Biologics Evaluation and Research (CBER)**

**December 2023  
Pharmaceutical Quality/CMC**

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*Contains Nonbinding Recommendations*

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**Advanced Manufacturing Technologies Designation Program  
Guidance for Industry<sup>1</sup>**

This draft guidance, when finalized, will represent the current thinking of the Food and Drug Administration (FDA or Agency) on this topic. It does not establish any rights for any person and is not binding on FDA or the public. You can use an alternative approach if it satisfies the requirements of the applicable statutes and regulations. To discuss an alternative approach, contact the FDA staff responsible for this guidance as listed on the title page.

**I. INTRODUCTION**

Advanced manufacturing is a term for an innovative pharmaceutical manufacturing technology or approach that has the potential to improve the reliability and robustness of the manufacturing process and supply chain and increase timely access to quality medicines for the American public. Advanced manufacturing can integrate novel technological approaches, use established techniques in an innovative way, or apply production methods in a new domain where there are no defined best practices or experience. Advanced manufacturing can potentially be used for new or currently marketed small molecule drugs or biological products.

FDA encourages the early adoption of advanced manufacturing technologies (AMTs) that have the potential to benefit patients by improving manufacturing and supply dependability and optimizing development time of drug and biological products. These technologies can be integral to ensuring quality and supporting a robust supply of drugs that are life-supporting, life-sustaining, of critical importance to providing health care, or in shortage. AMTs can directly improve product quality (e.g., through better manufacturing controls and fewer human interventions).

This guidance provides recommendations to persons and organizations interested in participating in FDA's Advanced Manufacturing Technologies Designation Program, which is intended to facilitate the development of drugs, including biological products, manufactured using an AMT that has been designated as such under the program (hereinafter *designated AMT*). The guidance outlines the eligibility criteria for AMT designation, the submission and assessment process for requests, and the benefits of receiving an AMT designation and includes a questions and answers section to cover additional details about key concepts important for program utilization. Specifically, the guidance describes:

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<sup>1</sup> This guidance has been prepared by the Office of Pharmaceutical Quality in the Center for Drug Evaluation and Research in cooperation with the Center for Biologics Evaluation and Research at the Food and Drug Administration.

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- 41 • The process for submitting an AMT designation request, including a description of  
42 eligibility criteria and the data and other information to be included.  
43
- 44 • When and how FDA will communicate receipt of and provide advice on an AMT  
45 designation request.  
46
- 47 • When and how FDA will assess AMT designation requests.  
48
- 49 • The process by which FDA will engage with holders of designated AMTs and applicants  
50 for drugs manufactured using, referencing, or relying upon a designated AMT.<sup>2</sup>  
51
- 52 • Potential benefits related to drug development and application assessment.<sup>3</sup>  
53

54 In general, FDA’s guidance documents do not establish legally enforceable responsibilities.  
55 Instead, guidances describe the Agency’s current thinking on a topic and should be viewed only  
56 as recommendations, unless specific regulatory or statutory requirements are cited. The use of  
57 the word *should* in Agency guidances means that something is suggested or recommended, but  
58 not required.  
59

## 60 **II. BACKGROUND**

61  
62  
63 FDA’s Advanced Manufacturing Technologies Designation Program, which is required under  
64 section 506L of the Federal Food, Drug, and Cosmetic Act (FD&C Act),<sup>4</sup> offers a framework for  
65 persons or organizations (e.g., applicants, contract manufacturers, technology developers) to  
66 request designation of a method or combination of methods of manufacturing<sup>5</sup> a drug<sup>6</sup> as an  
67 AMT. The program is intended to facilitate the development of drugs that are manufactured  
68 using a designated AMT, submitted in an application under section 505 of the FD&C Act (21  
69 U.S.C. 355) or section 351 of the Public Health Service Act (PHS Act, 42 U.S.C. 262), and  
70 regulated by the Center for Drug Evaluation and Research (CDER) or the Center for Biologics  
71 Evaluation and Research (CBER). The holder of the AMT designation or another authorized  
72 party may reference or rely upon data or information about the designated AMT in an application  
73 in the same context of use for which the designation was granted.<sup>7</sup> FDA will expedite

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<sup>2</sup> In this guidance, the term *applicant* also refers to sponsors of investigational new drug applications.

<sup>3</sup> In this guidance, the term *assessment* also means *review*. *Assessment* is the term that the Center for Drug Evaluation and Research’s Office of Pharmaceutical Quality and Office of Generic Drugs will generally use in place of *review*. *Assessment* means the process of both evaluating and analyzing submitted data and information to determine whether the application meets the requirements for approval and documenting that determination.

<sup>4</sup> Section 3213 of the Food and Drug Omnibus Reform Act of 2022 (FDORA) amended the FD&C Act, in part, to add section 506L, codified at 21 U.S.C. § 356l.

<sup>5</sup> In this guidance, the term *manufacturing* includes the steps outlined in the definition of *manufacture* in 21 CFR 207.1.

<sup>6</sup> In this guidance, the term *drug* refers to human drug products and biological products, and components of such products including active pharmaceutical ingredients, unless otherwise specified.

<sup>7</sup> See section 506L(c)(1) of the FD&C Act. In this guidance, *context of use* refers to the purpose and manner of use for a designated AMT that will be used in drug development and manufacturing.

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74 development and assessment of an application, including supplements, for drugs that are  
75 manufactured using a designated AMT as described in section 506L(d)(1) of the FD&C Act.  
76

77 Use of designated AMTs can provide greater assurance of quality, shorten drug development  
78 time, assist stakeholders in more efficiently meeting regulatory requirements for commercial  
79 manufacturing, and strengthen regulatory predictability for products that use a designated AMT.  
80 To encourage the adoption of designated AMTs, FDA offers early engagement opportunities,  
81 before application submission, with persons or organizations seeking designation of a method of  
82 manufacturing as an AMT (hereinafter *requestors*), designated AMT holders, and applicants to  
83 advise on designated AMTs and their implementation in drug manufacturing.  
84  
85

### **86 III. AMT DESIGNATION REQUESTS**

87

88 Requestors should familiarize themselves with the data requirements described in section 506L  
89 of the FD&C Act, the recommendations outlined in this guidance, and other publicly available  
90 sources of product development information<sup>8</sup> before submitting an AMT designation request.  
91

92 AMT designation requests are made independently of application submissions. Therefore, there  
93 is no predetermined stage of product development or specific application assessment cycle  
94 during which AMT designation requests can be submitted to FDA. Rather, requestors should  
95 submit their request when they have sufficient knowledge to support justification for AMT  
96 designation.  
97

98 FDA strongly recommends that requestors engage with CDER's Emerging Technology Team  
99 (ETT) or CBER's Advanced Technologies Team (CATT), where appropriate, *before* submitting  
100 an AMT designation request. The ETT manages CDER's Emerging Technology Program and  
101 the CATT manages CBER's Advanced Technologies Program. Both programs assist companies  
102 interested in implementing emerging or advanced technologies in drug development and are  
103 suitable for less mature technologies, such as proof-of-concept or prototype systems or  
104 hypothetical processes that have not yet been developed.<sup>9</sup> Early engagement with the ETT or  
105 CATT provides an initial opportunity to discuss a technology before it has reached a maturity  
106 level appropriate for AMT designation.  
107

#### **108 A. Criteria**

109

110 Per the criteria described in section 506L(b) of the FD&C Act, a method of manufacturing or  
111 combination of methods is eligible for AMT designation if it incorporates a novel<sup>10</sup> technology  
112 or uses an established technique or technology in a novel way that will substantially improve the

---

<sup>8</sup> See, e.g., International Council for Harmonisation guidance for industry *Q8(R2) Pharmaceutical Development* (November 2009). We update guidances periodically. For the most recent version of a guidance, check the FDA guidance web page at <https://www.fda.gov/regulatory-information/search-fda-guidance-documents>.

<sup>9</sup> For information about ETT and CATT and how they differ from the Advanced Manufacturing Technologies Designation Program and these two other programs, see section V, Q5, in this guidance.

<sup>10</sup> For an explanation of how FDA interprets the term *novel* in the context of a technology or a use of that technology being considered for AMT designation, see section V, Q1.

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113 manufacturing process for a drug while maintaining equivalent, or providing superior, drug  
114 quality, including by:

- 115
- 116 • Reducing development time for a drug using the designated manufacturing method; or
- 117
- 118 • Increasing or maintaining the supply of a drug that is life-supporting, life-sustaining, or
- 119 of critical importance to providing health care, or a drug that is on the drug shortage list
- 120 under section 506E of the FD&C Act (21 U.S.C. 356e).
- 121

122 Because FDA strongly recommends that requestors engage with the ETT or CATT before  
123 submitting an AMT designation request, the AMT for which they are seeking designation  
124 (hereinafter *proposed AMT*) should also generally meet the eligibility criteria described in  
125 CDER’s Emerging Technology Program and CBER’s Advanced Technologies Program.<sup>11</sup>

### **B. Content of the Request**

127

128

129 An AMT designation request must include data or information demonstrating that the method of  
130 manufacturing meets the statutory criteria in a particular context of use.<sup>12</sup> In addition, the request  
131 must demonstrate the ability of the proposed AMT to substantially improve the manufacturing  
132 process for a drug while maintaining or improving upon its quality, including by reducing drug  
133 development time or increasing or maintaining the supply of a drug that is life-supporting, life-  
134 sustaining, of critical importance to providing health care, or in shortage.<sup>13</sup> The robustness of the  
135 data and information should be commensurate with the level of risk inherent to the process and  
136 potential product, such that the data and information can be later leveraged in a marketing  
137 application.

138

139 Specifically, an AMT designation request should include the following information:

- 140
- 141 • A brief description of the method of manufacturing or combination of methods and why
- 142 it should be considered for AMT designation, including a brief explanation of how the
- 143 method, in part or in whole, incorporates a novel technology or uses an established
- 144 technique or technology in a novel way.
- 145
- 146 • A detailed description of how the method of manufacturing or combination of methods
- 147 meets the eligibility criteria described in section 506L(b) of the FD&C Act in a particular
- 148 context of use. This description should include:
  - 149
  - 150 ○ An outline of the steps of the proposed AMT, including information about where in
  - 151 the overall manufacturing process the proposed AMT is intended to be used.
  - 152

---

<sup>11</sup> For the Emerging Technology Program’s eligibility criteria, see <https://www.fda.gov/about-fda/center-drug-evaluation-and-research-cder/how-participate-etp>. For the scope of the Advanced Technologies Program, see <https://www.fda.gov/vaccines-blood-biologics/industry-biologics/cber-advanced-technologies-team-catt>.

<sup>12</sup> Section 506L(c)(1) of the FD&C Act.

<sup>13</sup> Section 506L(b) of the FD&C Act.

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- 153           ○ A description of proposed process controls, quality information, and, if applicable,  
154           proposed controls of critical steps, intended to ensure equivalent or superior drug  
155           quality.
- 156
- 157           ○ Developmental data and information for the proposed AMT that evaluates and  
158           justifies the context of use.
- 159
- 160           • The context of use under which the proposed AMT will be used in drug development,  
161           including information (e.g., dosage form, class of drug) about a model (i.e.,  
162           representative) drug used to generate data submitted in the request.
- 163
- 164           • Perceived regulatory, technical, or other challenges to implementation of the proposed  
165           AMT.
- 166
- 167           • The timeline, as applicable and if known at the time of the AMT designation request, for  
168           drug development activities that incorporate the proposed AMT, including the planned  
169           submission of any applications that would use, reference, or rely upon data and  
170           information about the proposed AMT in the same context of use.
- 171
- 172           • If applicable, information about previous engagement with ETT/CATT.
- 173
- 174           • For a proposed AMT that is intended for use in manufacturing an existing drug that meets  
175           the criteria in section 506L(b)(2)(A) or (B) of the FD&C Act:
- 176
- 177           ○ A cross-reference to the existing application.
- 178
- 179           ○ Data demonstrating that the proposed AMT will increase or maintain the supply of  
180           the drug and will maintain equivalent or provide superior drug quality.
- 181

182 FDA acknowledges that requestors who are not also applicants may not have data about a  
183 specific drug to include in their AMT designation request. In these cases, FDA recommends that  
184 requestors include data generated using a model drug to provide the Agency with a clear  
185 understanding of the proposed AMT’s parameters, limitations, and context of use.

186

### **C. Submission Process**

187

188

189 Requestors should submit their AMT designation request electronically to  
190 [AMT\\_designation\\_requests@fda.hhs.gov](mailto:AMT_designation_requests@fda.hhs.gov).<sup>14</sup> The subject line should be REQUEST FOR AMT  
191 DESIGNATION in uppercase letters. In addition to the data and information described in section  
192 III.B of this guidance, the email should include the requestor’s contact information—including the

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<sup>14</sup> If the request includes confidential commercial information, it is the responsibility of the company to ensure it is submitted using one of FDA’s secure messaging partners. Requestors can ask to be added to the list of FDA’s secure messaging partners by emailing [SecureEmail@fda.hhs.gov](mailto:SecureEmail@fda.hhs.gov). Confidential commercial or trade secret information should be clearly marked as such in accordance with 21 CFR 20.61(d).

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193 name, address, email address, and telephone number for their main point of contact—and indicate  
194 if the request is specific to CDER, CBER, or both.

195  
196 Upon receipt of the AMT designation request, FDA intends to acknowledge receipt and begin an  
197 evaluation to determine whether to designate the proposed AMT.

### 198 199 **D. Designation Determination**

200  
201 AMT designations will generally be limited to those methods of manufacturing that meet the  
202 criteria described in section 506L(b) of the FD&C Act and section III.A of this guidance. To  
203 determine eligibility, a team of FDA experts from the center with jurisdiction over the type of  
204 drug intended for development will review the request. This team, including members of the  
205 ETT or CATT, where applicable, will evaluate the data and information submitted in the request,  
206 including information relating to the context of use, and will seek input from subject matter  
207 experts, as needed, to determine if the proposed AMT meets the designation criteria and should  
208 therefore be granted AMT designation. For proposed AMTs that have potential cross-center  
209 impact, a cross-disciplinary team, including members from CDER and CBER, will evaluate the  
210 requests.<sup>15</sup>

211  
212 The team will include a designated lead with demonstrated expertise in the manufacturing  
213 process, product type, or other elements specific to the proposed AMT to serve as the primary  
214 subject matter expert for the request. The designated lead may facilitate contact with the  
215 requestor to obtain additional information about the AMT designation request or to coordinate  
216 discussions with the team concerning specific aspects of the proposed AMT during the  
217 designation determination process.<sup>16</sup> As appropriate, the designated lead will facilitate the  
218 involvement of senior FDA managers and other experienced FDA staff in a collaborative, cross-  
219 disciplinary review of the proposed AMT.<sup>17</sup>

220  
221 Section 506L(e)(2) of the FD&C Act requires FDA to complete AMT designation  
222 determinations regarding designation for a particular context of use and acceptance into the  
223 program in writing within 180 calendar days of FDA’s receipt of the request.<sup>18</sup> Submission of an  
224 AMT designation request does not guarantee designation or acceptance into the program. FDA  
225 expects to deny requests that are incomplete or submitted for methods of manufacturing that do  
226 not meet the criteria described in 506L(b) of the FD&C Act.

### 227 228 **E. Lifecycle**

229  
230 Designated AMT holders should communicate proposed changes to designated AMTs by  
231 emailing [AMT\\_designation\\_requests@fda.hhs.gov](mailto:AMT_designation_requests@fda.hhs.gov). The subject line should be PROPOSED  
232 CHANGE FOR DESIGNATED AMT in uppercase letters. In addition to the requestor’s contact  
233 information described in section III.C of this guidance, the email should include the name of the

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<sup>15</sup> See section 506L(c)(1) (B) of the FD&C Act.

<sup>16</sup> See section 506L(c)(1)(A) of the FD&C Act.

<sup>17</sup> See section 506L(c)(1)(B) of the FD&C Act.

<sup>18</sup> See section 506L(c)(2) of the FD&C Act.

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234 designated lead on the original AMT designation request and the product center that reviewed  
235 the request (CDER, CBER, or both), a brief description of the proposed change, and a list of  
236 persons or entities who have been given a right of reference to the designated AMT. The email  
237 should also address the potential impact of the proposed change on:  
238

- 239 • Whether the designated AMT continues to meet the criteria for designation.
- 240
- 241 • The particular context of use for which the AMT was designated, as defined at the time  
242 of initial designation.
- 243
- 244 • Approved applications for products using, referencing, or relying upon the designated  
245 AMT.
- 246

247 FDA intends to assess the proposed changes, including data to support such changes, to confirm  
248 that the designated AMT continues to meet criteria for designation and to evaluate any potential  
249 impact on the particular context of use for which the AMT was designated. Applicants with  
250 approved applications that use, reference, or rely upon a designated AMT should evaluate the  
251 potential impact of the change on the finished product that is the subject of the application to  
252 determine whether a postapproval submission is required as described in 21 CFR 314.70 or  
253 601.12.<sup>19</sup>  
254

255 Once FDA has gained significant experience assessing a designated AMT and the designated  
256 AMT has been used in multiple approved regulatory applications, FDA may decide to graduate  
257 the technology and transfer the review of future applications that use, reference, or rely upon that  
258 AMT—including supplements to an original application that had previously been granted the  
259 designation—to the standard quality assessment process (rather than an expedited process).  
260 Doing so would allow FDA to focus resources on new AMTs that continue to meet the  
261 program’s goal of encouraging adoption of novel technologies to shorten drug development  
262 times for critical medicines while maintaining or improving product quality.  
263

## **IV. POTENTIAL BENEFITS OF AMT DESIGNATION**

264

267 A key benefit of the Advanced Manufacturing Technologies Designation Program is FDA’s  
268 early interaction with requestors and applicants regarding the development of drugs that may be  
269 manufactured using a designated AMT.<sup>20</sup> As resources permit, FDA intends to provide timely  
270 advice and to engage in additional communication, in the form of written correspondence or  
271 meetings, with requestors, designated AMT holders, and applicants for a drug manufactured  
272 using a designated AMT. Such communication may take place during both early drug  
273 development and subsequent application assessment and will be used to address proposed or  
274 designated AMT-related questions and issues, including AMT design or development issues,  
275 submission content related to a designated AMT, and other AMT-related topics. When

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<sup>19</sup> To facilitate this evaluation, FDA recommends that entities that obtain a right of reference to reference or rely upon a designated AMT ensure the agreement includes mechanisms for communication regarding future changes.

<sup>20</sup> See sections 506L(c) and (d) of the FD&C Act.

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276 appropriate, this process may include coordination with the appropriate FDA quality assessment  
277 team.

278  
279 FDA expects to prioritize applicant interactions that are intended to discuss the use of a  
280 designated AMT in drug development or commercial manufacturing, with higher priority being  
281 given to drug development activities and applications using a designated AMT with the potential  
282 to significantly improve product quality, address known quality issues for a drug or class of  
283 drugs, or increase or maintain the supply of drugs that are currently in shortage or imminently at  
284 risk of being in shortage. Consideration for prioritization may also be given to drug development  
285 activities and applications that are accepted into other expedited programs (e.g., fast track,  
286 breakthrough therapy). For NDAs, BLAs, and ANDAs involving complex generic drugs, these  
287 interactions typically occur under the appropriate user fee meeting type<sup>21</sup> and are generally  
288 facilitated through the designated lead for the AMT request, in consultation with the application  
289 quality assessment team. Applicants should determine the frequency and timing of the meeting  
290 requests based on the stage of development of their drug. For ANDAs not involving complex  
291 generic drugs, these interactions would typically take place through controlled correspondence.<sup>22</sup>  
292 However, applicants with a designated AMT—whether the ANDA involves a complex product  
293 or a non-complex product—can also request product development and presubmission  
294 meetings.<sup>23</sup> Any additional interaction deemed necessary by FDA will be communicated and  
295 facilitated by the designated lead for the AMT request.

296  
297 Using existing tools and resources, the designated lead will communicate to the applicant advice  
298 and information relevant to product quality to support the successful adoption of a designated  
299 AMT. As needed, the designated lead will also connect applicants with other FDA disciplines  
300 outside the scope of product quality when an applicant requires expertise or advice from these  
301 other disciplines.

302  
303 It is the applicant's responsibility to demonstrate, through the required technical data submitted  
304 in the chemistry, manufacturing, and controls (CMC) section,<sup>24</sup> that a designated AMT is  
305 suitable for inclusion in their application.

306  
307

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<sup>21</sup> See draft guidances for industry *Formal Meetings Between the FDA and Sponsors or Applicants of PDUFA Products*, Revision 1 (September 2023) and *Formal Meetings Between the FDA and Sponsors or Applicants of BsUFA Products*, Revision 1 (August 2023). When final, these guidances will represent FDA's current thinking on these topics. See also guidances for industry *Formal Meetings Between FDA and ANDA Applicants of Complex Products Under GDUFA*, Revision 1 (October 2022) and *Expedited Programs for Regenerative Medicine Therapies for Serious Conditions* (February 2019).

<sup>22</sup> See draft guidance for industry *Controlled Correspondence Related to Generic Drug Development* (December 2022). When final, this guidance will represent the FDA's current thinking on this topic.

<sup>23</sup> Applicants should submit requests for a product development meeting in an appropriate format, such as the format described in the guidance for industry *Formal Meetings Between FDA and ANDA Applicants of Complex Products Under GDUFA*, Revision 1. In addition to the applicable information identified in sections V and VIII of the Formal Meetings guidance, applicants should provide documentation of the AMT designation.

<sup>24</sup> See 21 CFR 314.50(d)(1), 21 CFR 314.94(a)(9), and 21 CFR 601.2.

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### 308 **A. Drug Development**

309  
310 Applicants are encouraged to take advantage of the benefits afforded under the program to  
311 engage with the team that designated the AMT to discuss, early in the development process, how  
312 the designated AMT can be used to shorten or otherwise optimize drug development time.<sup>25</sup> The  
313 designated lead will work to:

- 314
- 315 • Ensure that meetings with the applicant are collaborative and productive.
- 316
- 317 • Answer applicant questions about the information appropriate to be included in their
- 318 application.
- 319
- 320 • Discuss the quality assessment of future applications that plan to use, reference, or rely
- 321 upon a designated AMT.
- 322

### 323 **B. Application Assessment**

324  
325 The designated lead will facilitate the quality assessment of an application for a drug  
326 manufactured using the designated AMT with the aim of making the assessment process more  
327 efficient than the process for applications using manufacturing methods not designated under the  
328 program. FDA intends to use this approach to support applicants while they are developing the  
329 CMC section of their applications such that the incorporation of a designated AMT will not  
330 increase the time or number of assessment cycles required to arrive at a quality-related decision  
331 and, as a result, will not increase the time required to arrive at a decision regarding overall  
332 application approval. When a designated AMT is used across multiple drugs, the knowledge and  
333 familiarity gained by FDA during assessment of the first application should streamline the  
334 assessment of subsequent applications that use the same designated AMT.

335  
336 When a designated AMT no longer meets the eligibility criteria, as described in section III.E of  
337 this guidance, appropriate steps will be followed to transfer information about the previously  
338 designated AMT to the appropriate assessment team for applications that used, referenced, or  
339 relied upon the previously designated AMT. New applications received after the transfer occurs  
340 will be eligible for the standard level of FDA communication and interaction that the application  
341 would otherwise receive.

## 342 343 344 **V. QUESTIONS AND ANSWERS**

### 345 346 **Q1. What does FDA consider a novel technology or use of an established technique or** 347 **technology in a novel way?**

348  
349 For purposes of evaluating eligibility for AMT designation, FDA generally considers a novel  
350 technology to be one that has not been used in a previously approved application and for which  
351 FDA therefore has limited assessment or inspectional experience. Similarly, FDA generally

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<sup>25</sup> See section V, Q7, in this guidance for information about requesting engagement.

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352 considers an established technique or technology to be novel if it is used in a way that has not  
353 been described in a previously approved application. It is important to note that certain  
354 technologies or their uses may be considered novel but play such a limited role in the  
355 development or manufacture of a particular drug that their incorporation would not qualify the  
356 method of manufacturing for AMT designation. In such cases, the method of manufacturing  
357 would not meet the eligibility criteria for designation because, given its limited role, the novel  
358 technology or novel use that is incorporated would not be considered to substantially improve the  
359 manufacturing process for a drug.

360  
361 **Q2. How is context of use considered when determining AMT designation and assessing**  
362 **applications for other products (i.e., not the model drug used for the AMT designation**  
363 **request)?**  
364

365 Consistent with section 506L(c) of the FD&C Act, AMT designation applies to a method of  
366 manufacturing within a particular context of use rather than to a specific application.  
367 Nevertheless, the data and information necessary to support an AMT designation request should,  
368 at a minimum, be specific to a particular class of drugs and, as described in section III of this  
369 guidance, should include development data, including batch analysis data generated using either  
370 a developmental candidate molecule or a model drug.

371  
372 Requestors should fully and clearly describe the context of use within which they are requesting  
373 the AMT be designated, including how it will be used to develop and manufacture a specific type  
374 or range of drugs. Requestors can contact their designated lead to request an update to the  
375 context of use for a designated AMT when additional supportive data become available (e.g.,  
376 additional batch analysis data from additional products). FDA will determine on a case-by-case  
377 basis whether to update the context of use (e.g., the scope of drugs to be manufactured using the  
378 designated AMT) for the designated AMT as proposed by the requestor or if additional data are  
379 necessary to support the expanded designation request.

380  
381 Whether the use of a designated AMT for manufacturing a specific drug that is the subject of an  
382 application would be considered to be the same context of use for which the AMT was  
383 designated can be discussed in presubmission meetings and will be determined during the  
384 application assessment process.

385  
386 **Q3. How can an applicant reference or rely upon a designated AMT in an application?**  
387

388 When an applicant and designated AMT holder are different entities, the designated AMT holder  
389 can authorize the applicant to incorporate by reference data and information about the designated  
390 AMT in their application. In some cases, the designated AMT holder may also be the holder of a  
391 drug master file (DMF) that contains the designated AMT.<sup>26</sup> In those circumstances, an applicant  
392 submitting an NDA or ANDA can, to support their application and with an appropriate right of  
393 reference, generally reference a DMF. However, when a DMF holder is also the holder of a  
394 designated AMT, information specifically describing the designated AMT should be shared with  
395 the NDA or ANDA applicant. An application that references or relies upon a designated AMT

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<sup>26</sup> See 21 CFR 314.420.

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396 can receive the benefits provided by the AMT designation with the appropriate authorization if  
397 the referenced AMT is used to manufacture a drug in the same context of use for which the  
398 designation was granted as described above.

399  
400 Although multiple applications can reference the same designated AMT, each application  
401 referencing a particular designated AMT will be assessed on its own merits. When referencing or  
402 relying upon a designated AMT in an application, applicants should explain how the designated  
403 AMT will be used to manufacture the drug that is the subject of the application and why that  
404 context of use is consistent with the context of use for which the AMT received designation.

### **Q4. How can designated AMTs be used, referenced, or relied upon in a BLA as compared to an NDA or ANDA?**

405  
406  
407  
408  
409 Because AMT designation is granted outside the context of a specific application, a designated  
410 AMT can support a small molecule drug or biological product. Because a BLA holder is  
411 expected to have knowledge of and control over the manufacturing process for the biological  
412 product for which it has a license, FDA generally expects such information to be submitted  
413 directly to the BLA.<sup>27</sup> For this reason, the BLA applicant should have access to the supportive  
414 data and information for drug substance, drug substance intermediate, and drug product  
415 manufacturing relevant to the AMT and should not incorporate by reference a designated AMT,  
416 including by referencing a DMF that contains a designated AMT.

### **Q5. How does the Advanced Manufacturing Technologies Designation Program differ from CDER's Emerging Technology Program and CBER's Advanced Technologies Program?**

417  
418  
419  
420  
421  
422 All three programs focus on early engagement between FDA and prospective developers of  
423 CDER- or CBER-regulated products to discuss potential regulatory challenges and clarify related  
424 questions. CDER's Emerging Technology Program allows potential applicants, before  
425 application submission, to submit questions and proposals about the use of a specific emerging  
426 technology to the ETT, a group that serves as the primary point of contact for companies  
427 interested in implementing an emerging technology into their products regulated by CDER.  
428 CBER's Advanced Technologies Program promotes dialogue, education, and input between  
429 CBER and prospective developers of advanced manufacturing and testing technologies. The  
430 CATT facilitates such communications to promote the implementation of these technologies in  
431 the development of products regulated by CBER.<sup>28</sup>

432  
433 As discussed earlier, engaging with the ETT or CATT is highly encouraged before requesting  
434 AMT designation. FDA recommends not requesting AMT designation at the same time as

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<sup>27</sup> See 21 CFR 601.2 and draft guidance for industry *Drug Master Files* (October 2019). When final, this guidance will represent the FDA's current thinking on this topic.

<sup>28</sup> For more information about CDER's Emerging Technology Program, see guidance for industry *Advancement of Emerging Technology Applications for Pharmaceutical Innovation and Modernization* (September 2017) and <https://www.fda.gov/about-fda/center-drug-evaluation-and-research-cder/emerging-technology-program>. For more information about CBER's Advanced Technologies Program, see <https://www.fda.gov/vaccines-blood-biologics/industry-biologics/cber-advanced-technologies-program>.

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435 ETT/CATT engagement because ETT and CATT discussions generally occur earlier in the drug  
436 development process and are intended for less mature methods and technologies compared to  
437 AMT designation, which is intended for more mature methods and technologies (e.g., for which  
438 model drug-specific data are available).

439  
440 In some cases, a particular technology that is not accepted into CDER's Emerging Technology  
441 Program or CBER's Advanced Technologies Program could nevertheless be eligible for AMT  
442 designation. For example, a method of manufacturing could already be at a stage where it is  
443 ready for commercial-scale production. The opposite could also be the case. For example, as  
444 noted in section III, it is possible that the data and information necessary for AMT designation  
445 might not yet be available for a technology granted acceptance into CDER's Emerging  
446 Technology Program or CBER's Advanced Technologies Program.

447  
448 There are several differences between the three programs. For example, CDER's Emerging  
449 Technology Program and CBER's Advanced Technologies Program can involve activities  
450 outside the scope of AMT designation, such as training of FDA staff. AMT designation requests  
451 are also limited to manufacturing methods, whereas discussions with external stakeholders  
452 through ETT and CATT can involve other elements, such as novel dosage forms or drug delivery  
453 systems.

454  
455 As discussed elsewhere in this guidance, a requestor or designated AMT holder may not  
456 necessarily be the same entity as the applicant who ultimately uses a designated AMT in an  
457 application. Although a participant in CDER's Emerging Technology Program can also engage  
458 with the ETT without a specific product in development yet, the Emerging Technology Program  
459 is primarily designed for companies that intend to eventually incorporate an emerging  
460 technology into the CMC section of their application. For CBER's Advanced Technologies  
461 Program, the CATT is limited to early engagement before regulatory submission. Therefore, any  
462 meetings regarding the use of a designated AMT that take place after application submission  
463 would generally occur through the Advanced Manufacturing Technologies Designation Program.

464

### **Q6. How does the Advanced Manufacturing Technologies Designation Program differ from the Platform Technology Designation Program?**

465  
466  
467  
468 Both the Advanced Manufacturing Technologies Designation Program and the Platform  
469 Technology Designation Program<sup>29</sup> aim to increase the efficiency of drug development and  
470 manufacturing. However, the two programs generally serve different purposes and apply to  
471 different types of technologies.

472  
473 Regarding program purpose, one of the distinguishing criteria for a method of manufacturing or  
474 combination of methods being proposed for AMT designation is that it must incorporate a novel  
475 technology or an established technique or technology used in a novel way.<sup>30</sup> In contrast, one of  
476 the distinguishing criteria of a designated platform technology is that it is a well-understood and

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<sup>29</sup> See section 506K of the FD&C Act (21 U.S.C. 356k), added by section 2503 of the Prepare for and Respond to Existing Viruses, Emerging New Threats, and Pandemics Act (PREVENT Pandemics Act of 2022).

<sup>30</sup> See section 505L(b) of the FD&C Act.

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477 reproducible technology that is incorporated in or utilized by an approved drug or licensed  
478 biological product.<sup>31</sup> For this reason, FDA expects to have previous assessment or inspectional  
479 experience with a designated platform technology.

480  
481 Regarding eligible methods of manufacturing, a designated AMT is limited to a method or  
482 combination of methods of manufacturing a drug. In contrast, a broader range of technologies  
483 (e.g., nucleic acid sequences, molecular structures, mechanisms of action, delivery methods) is  
484 eligible for platform technology designation, and applicants must demonstrate, among other  
485 criteria,<sup>32</sup> that the platform technology is incorporated in or utilized by a drug and is essential to  
486 the structure or function of such drug to receive designation.<sup>33</sup>

487  
488 Because of these differences between the two programs, FDA strongly recommends requesting  
489 only the designation that is appropriate for the particular method or technology in question.  
490 There should be no expectation that requesting both designations simultaneously would offer  
491 additional benefits.

492  
493 **Q7. How should an applicant request engagement with FDA regarding the use of a**  
494 **designated AMT?**

495  
496 Applicants can request a meeting with FDA to have a preliminary discussion about using a  
497 designated AMT and request subsequent meetings throughout the drug development process. As  
498 described in section IV of this guidance, such meeting requests will typically occur under the  
499 appropriate user fee meeting type and should be made in accordance with the electronic  
500 submission guidance<sup>34</sup> and other guidances related to formal meetings between FDA and  
501 applicants.<sup>35</sup> Although applicants can request such a meeting at any milestone during the  
502 application assessment process, FDA encourages earlier engagement to enable prompt resolution  
503 of regulatory challenges and more efficient application assessment. Any such submissions should  
504 be clearly identified as a **REQUEST FOR A MEETING UNDER THE ADVANCED**  
505 **MANUFACTURING TECHNOLOGIES DESIGNATION PROGRAM** in bold, uppercase  
506 letters. In addition to the content recommended in relevant guidances,<sup>36</sup> the meeting background  
507 package should include the timing for application submission and a summary of how the  
508 designated AMT will be used to manufacture the drug.

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<sup>31</sup> See section 506K(b)(1) of the FD&C Act.

<sup>32</sup> See section 506K(h)(1) of the FD&C Act.

<sup>33</sup> See section 506K(h)(1)(A) of the FD&C Act.

<sup>34</sup> See guidance for industry *Providing Regulatory Submissions in Electronic Format—Certain Human Pharmaceutical Product Applications and Related Submissions Using the eCTD Specifications* (February 2020).

<sup>35</sup> See footnote 21.

<sup>36</sup> *Ibid.*